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The role of $\gamma\delta$ T cells in the context of allogeneic stem cell transplantation

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Abstract

Allogeneic stem cell transplantation is currently the only curative approach for a variety of malignant and non-malignant diseases. In the early transplant era, the intent of this treatment was to apply an intensive myeloablative regimen to eliminate residual malignant cells followed by the hematopoietic rescue of the patients with donor hematopoietic stem cells. However, the focus has shifted over time and allogeneic transplantation is nowadays seen as a cellular therapy in which the donor-derived immune system mounts an anti-infectious and especially an anti-tumor effect in the posttransplant phase. In order to further augment the anti-tumor effect, various approaches have been developed, including the manipulation of the donor-derived immune system *in vivo* or the adoptive transfer of *ex vivo*-expanded donor-derived effector cells. Based on their lack of alloreactivity, $\gamma\delta^+$ T cells are shifting into the spotlight of research in the context of allogeneic transplantation. Their exploitation with regard to their anti-infectious and anti-tumor properties and their *in vivo* and *ex vivo* manipulation will lead to new therapeutic approaches to improve the outcome of patients after allogeneic stem cell transplantation. In this review, the important role of $\gamma\delta^+$ T cells in allogeneic matched and mismatched transplantation is summarized and an outlook is discussed on how to best make use of this unique cell population.

Keywords

Allogeneic stem cell transplantation, $\gamma\delta$ T cells, immunotherapy, adoptive transfer, chimeric antigen receptor T cells

Introduction

Despite the rise of new cellular therapies such as chimeric antigen receptor (CAR) T cells, allogeneic (allo) stem cell transplantation (SCT; allo-SCT) remains the only curative treatment for many patients with various hematological malignancies. In the early beginnings, allo-SCT was accompanied by a high risk of often lethal complications, including organ toxicity induced by the myeloablative conditioning, graft-versus-host disease (GVHD), infections due to the delayed immune reconstitution and relapses of the underlying diseases.

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However, tremendous progress has been made over time to reduce the side effects of allo-SCT. Advances in the prophylaxis and treatment of GVHD as well as a better understanding of the important role of the immune reconstitution have led to a significant decrease of the complications seen after transplantation and the numbers of allogeneic transplantation are steadily increasing [1].

Allo-SCT therapy was initially only offered to patients who had a human leukocyte antigen (HLA)-matched related or unrelated donor. Since not all patients had an HLA-suitable donor, attempts were made to offer these patients a transplant from a related haploidentical donor. Unfortunately, these early haploidentical transplantations were accompanied by severe and often lethal GVHD [2].

However, approaches to avoid GVHD by either *ex vivo* T cell depletion [3, 4] or the use of post-transplant cyclophosphamide (PTCy) [5] have revolutionized the field and the number of haploidentical transplantation is rising [6]. Therefore, almost every patient in need of a transplant can nowadays be offered this often only curative approach.

As already mentioned, a better understanding of the immune reconstitution is important for a better outcome with respect to decreasing the risk of severe and lethal viral and bacterial infections post-transplant and maybe also to decreasing the risk of relapse of the underlying malignant disease [7]. In this regard, allo-SCT is nowadays regarded as a cellular therapy rather than just a myeloablative chemo/radiotherapy to ultimately destroy the malignant cells. The immune recovery of various immune effector cells, such as natural killer (NK) cells and especially T cell receptor (TCR) $\alpha\beta^+$ T-lymphocytes play an important role in fighting infections posttransplant and also in decreasing the risk of relapses. $\gamma\delta$ T cells are also an important effector cell population in allogeneic transplantation [8] and have moved into the focus of research [9]. In contrast to TCR $\alpha\beta^+$ T cells, $\gamma\delta$ T cells are not alloreactive, will not cause GVHD, and can have many positive effects in allogeneic transplantation [10]. Therefore, the manipulation of $\gamma\delta$ T cells might become a powerful tool to improve the outcome of patients after allogeneic transplantation. Their role in immune reconstitution, their adoptive transfer and/or their *in vivo* stimulation will be discussed in this review.

The role of $\gamma\delta$ T cells in immune reconstitution after allogeneic transplantation

Although increased numbers of $\gamma\delta$ T cells were found in early studies of patients who developed acute GVHD after allo-SCT [11], later studies did not support these findings and no significant correlation between $\gamma\delta$ T cells and the incidence of GVHD in the first 12 months posttransplant was reported in a later study [12]. In patients who received an *ex vivo* TCR $\alpha\beta$ T cell-depleted bone marrow from partially HLA-mismatched donors, a significantly better disease-free survival (DSF) at 30 months posttransplant was observed in patients in whom the percentage of $\gamma\delta$ T cells was more than 10% of the total lymphocyte count. There was no difference in the incidence of acute or chronic GVHD [13]. In an extended 42-month follow-up study, the authors confirmed their data [14]. A further follow-up study 8 years later with an increased number of patients (*n* = 153) revealed a higher 5-year leukemia-free and overall survival in patients who reconstituted with a higher number of $\gamma\delta$ T cells [15].

Minculescu et al. [16] reported on an improved overall survival, relapse-free survival, and less GVHD in patients with fast immune reconstitution of $\gamma\delta$ T cells 2 months after allo-SCT [16]. These results suggest a protective role of $\gamma\delta$ T cells against relapse and interestingly also GVHD. It is of interest in this context that granulocyte-colony stimulating factor (G-CSF) participates in acute GVHD regulation by inducing T-regulatory $\gamma\delta$ T cells including V δ 1 regulatory T cells (Tregs), CD27⁺V δ 1 Tregs and CD25⁺V δ 1 Tregs [17]. In a murine graft-versus-leukemia (GVL) and acute GVHD model, Song et al. [18] demonstrated that $\gamma\delta$ T cells were critical in mediating GVL effects and that $\gamma\delta$ T cells also mitigated acute GVHD by suppressing CD4⁺T cell activation [18].

In a recent study, Klyuchnikov et al. [19] showed that the enhanced immune reconstitution of $\gamma\delta$ T cells after allo-SCT overcomes the negative impact of pre-transplant minimal residual disease (MRD) positive status in adult patients suffering from acute myeloid leukemia (AML) [19]. The authors investigated the potential GVL effect of $\gamma\delta$ T cells in 100 patients with positive MRD pre-transplant. Younger age (\leq 58 years)

of recipients and donors (< 30 years), sex mismatch, matched donors, cytomegalovirus (CMV) reactivation and anti-thymocyte globulin (ATG) were associated with a faster reconstitution of $\gamma\delta$ T cell. A multivariate analysis of the MRD⁺ patients who had a higher than median $\gamma\delta$ T cell count on days +30 and +100 posttransplant revealed that these patients had a significantly improved leukemia-free and overall survival. Moreover, higher levels of $\gamma\delta$ T cells at day +30 were associated with a significantly reduced risk of relapse. Interestingly, patients who received PTCy for GVHD prophylaxis had a lower posttransplant level of $\gamma\delta$ T cells compared to patients who received ATG. No impact of the $\gamma\delta$ T cell level was seen in MRD-negative patients and no correlation with the development I of GVHD was observed.

Similar to NK cells, $\gamma\delta$ T cells express inhibitory HLA receptors [20]. Dolstra et al. [21] showed that Vγ9Vδ1 T cells derived from peripheral blood of an AML patient after allo-SCT lysed freshly isolated AML blasts and AML cell lines and that the cytotoxic function of the $\gamma\delta$ T cells was negatively regulated by the inhibitory immunoglobuline-like receptor (KIR) CD158b [21]. It is currently unknown whether alloreactive or non-alloreactive $\gamma\delta$ T cells based on their KIR expression have an important role in the setting of allogeneic and especially in haploidentical transplantation, as it has been described for KIR-expressing NK cells [22]. In a study with pediatric patients with leukemia, the number of $\gamma\delta$ T cells was determined after allogeneic transplantation including haploidentical transplantation with ex vivo T cell-depleted mobilized peripheral stem cells grafts [23]. Patients with a higher $\gamma\delta$ T cell level had a significantly better eventfree and overall survival within a median follow-up period of 2.7 years. Moreover, a lower incidence of bacterial and viral infections was seen in these patients, pointing towards an important anti-bacterial [24] and antiviral function [25] of $\gamma\delta$ T cells. It is of interest in this context that especially the V δ 2neg subset expands upon reactivation of the CMV and that CMV-induced γδ T cells can recognize and lyse primary leukemic blasts [26]. One could speculate that this might be an explanation for the observation that early CMV replication posttransplant is associated with a decreased relapse risk in patients with AML [27]. It has also been shown that Epstein-Barr virus (EBV) infections posttransplant lead to an expansion of V δ 1⁺ T cells in a patient with acute lymphoblastic leukemia (ALL) and this subset represented more than 80% of the circulating $\gamma\delta$ T cells [28]. In a more detailed analysis of the dynamics of the repertoires of $\gamma\delta$ T cell antigen receptors before and after transplantation, Ravens et al. [29] showed that patients had a rapid $\gamma\delta$ T cell reconstitution posttransplant and that they had profoundly altered TCR repertoires. Of interest, the clonal proliferation of virus-reactive $\gamma\delta$ TCR sequences in patients with CMV reactivation hinted towards an adaptive anti-viral γδ T cell immune response [29]. In addition, Liu et al. [30] found an inverse correlation of Vδ2⁺ T cell recovery with EBV reactivation after allo-SCT. They also reported that immunosuppressive therapy for GVHD prophylaxis had a negative effect on the anti-EBV capacity of the V δ^{2+} T cells and that strategies to reduce or omit immunosuppression posttransplant may improve the anti-EBV immunity by increasing the activity of the V δ^2 subset [30]. Another evidence for the overall importance of $\gamma\delta$ T cells in allo-SCT comes from a systematic review and meta-analysis by Arruda et al. [31]. These authors searched 2,412 studies, of which 11 studies with 919 patients were eligible for meta-analysis. They found that high γδ T cell levels after allo-SCT were significantly associated with a lower risk of relapse, with fewer episodes of viral infections and with a better overall and DSF. It is of importance that they did not find an association between high $\gamma\delta$ T cell counts and acute GVHD incidence.

The role of $\gamma\delta$ T cells in the context of *ex vivo* T cell depleted transplantation

Most of the so far described results have mainly been observed in patients who received an unmanipulated bone marrow or peripheral blood stem cell (PBSC) grafts mobilized with G-CSF and a subsequent posttransplant GVHD prophylaxis. In the context of *ex vivo* T cell-manipulated grafts and in the absence of any GVHD prophylaxis, the role of $\gamma\delta$ T cells might become even clearer than already described. The initial *ex vivo* T cell depletion methods comprised the isolation of CD34⁺ stem cells from G-CSF mobilized PBSC [3] or the depletion of CD3⁺ T cells [32]. Both of these methods were associated with the co-depletion of $\gamma\delta$ T cells and no clear picture regarding the role of $\gamma\delta$ T cells in this setting emerged. In order to use the potential anti-leukemic and anti-infectious effects of donor-derived $\gamma\delta$ T cells, the TCR $\alpha\beta$ depletion of mobilized

PBSCs was introduced [33]. This method is associated with a high log depletion of $TCR\alpha\beta^+$ T cells while retaining high numbers of NK and $\gamma\delta$ T cells in the mobilized PBSC grafts. Due to the high depletion efficacy of this method, only a short or no posttransplant pharmacological GVHD prophylaxis is necessary.

The mobilization of peripheral donor stem cells with G-SCF does not impair the function of $\gamma\delta$ T cells. This was shown by Otto et al. [34] who demonstrated that the $\gamma\delta$ T cells in the G-CSF mobilized grafts retain a strong tumoricidal activity and produce immunomodulatory cytokines including interferon- γ (IFN- γ) and tumor necrosis factor- α (TNF- α) [34]. They also observed a high proportion of V γ 9V δ 1 T cells in some of the G-CSF mobilized grafts. This finding was recently confirmed by Minculescu et al. [35] in a more detailed analysis of the influence of G-CSF on the mobilization of $\gamma\delta$ T cell subsets in healthy stem cell donors [35]. They found that G-CSF preferentially mobilized naive and terminally differentiated CD45RA re-expressing effector memory T cells (termed TEMRA) cells over memory cells and that G-CSF preferentially mobilized cells of the nonV δ 2 types and increased the fraction of HLA-DR positive $\gamma\delta$ T cells. The highest increase of $\gamma\delta$ subsets was of the V δ 1 and nonV δ 1-nonV δ 2 type. The V δ 1 subset of $\gamma\delta$ T cells is of special interest since it was shown that this subset is associated with the effective killing of fresh AML blasts after allo-SCT [21].

Various studies have been performed in pediatric and adult patients using the *ex vivo* TCRaß depletion with or without concomitant depletion of CD19⁺ B lymphocytes in a matched related or unrelated and haploidentical setting. In a prospective multicenter study of TCR $\alpha\beta$ and CD19 depleted haploidentical SCT in pediatric and adult patients, it was shown that despite the co-infusion of a median of 10.4×10^6 /kg body weight (BW, range $1.1-45 \times 10^6$) T cells, the incidence of acute GVHD was low and none of the patients developed a higher grade III or IV acute GVHD [36]. Due to the high number of adoptively transferred donor γδ T cells together with the graft, γδ T cells were, besides NK cells, the predominant subset of cells during the first 4 weeks posttransplant. Despite heavily pretreated patients, the estimated probability of overall survival at 2 years was 70% for the adult patients with a DSF of 60%. An early reconstitution of NK and yo T cells was also observed in adult patients who received TCRab depleted PBSC grafts from HLAmatched related or matched (10/10 or 9/10) unrelated donors [37]. The reconstituting $\gamma\delta$ T cell subsets included both V $\delta 2^+$ and V $\delta 2^-$ cells. Lang et al. [38] observed an improved immune recovery after TCR $\alpha\beta$ depleted haploidentical SCT in children [38]. The median number of co-transplanted $\gamma\delta$ T cells was 11 × 10^{6} /kg BW with a wide range of 0.9–89.7 × 10^{6} /kg BW. The recovery of donor-derived $\gamma\delta$ T cells started as early as day +7 and preceded the recovery of $\alpha\beta^{+}$ T cells. A similar observation was made in pediatric patients with AML who received TCR $\alpha\beta$ depleted PBSC grafts from matched unrelated and haploidentical donors [39]. A more detailed analysis of the reconstituting $\gamma\delta$ T cells was reported by Airoldi et al [40]. They analyzed the $\gamma\delta$ subsets in 27 pediatric patients after receiving TCR $\alpha\beta$ depleted PBSC grafts. The median number of co-transplanted $\gamma\delta$ T cells was 7.85 × 10⁶/kg BW (range 1.5–94.5 × 10⁶/kg BW). The majority of the reconstituting CD3⁺ T lymphocytes 2 to 4 weeks posttransplant were $\gamma \delta^+$ T cells, preceding the recovery of TCR $\alpha\beta^{+}$ T cells and a higher number of infused $\gamma\delta$ T cells was associated with a later increase of $\alpha\beta^{+}$ T cells. Among the reconstituting $\gamma\delta$ T cells, mainly 3 subsets were seen, namely V δ 1⁺, V δ 2⁺, and V δ 1negV δ 2neg T cells. Four weeks after transplantation, the mean percentages for V δ 1⁺, V δ 2⁺, and V δ 1negV δ 2neg were 65%, 25%, and 9.6%, respectively. They then compared the $\gamma\delta$ recovery with pediatric patients who received a CD34⁺ positively selected graft. Three months posttransplant, the level of γδ T cells was lower in the CD34⁺ cohort and most of the cells were of the V δ 1 phenotype. In patients who had a CMV reactivation, a significant increase of $V\delta1^+$ T cells was observed [40]. Bertaina et al. [41] reported interesting data in pediatric patients on the *in vivo* activation of $\gamma\delta$ T cells posttransplant after receiving a TCR $\alpha\beta$ depleted PBSC graft. Zoledronate was given to 43 patients with ALL (n = 30) and AML (n = 13) every 28 days and most patients received two applications. Zoledronate induced an increase of the VS2 subset and an increase of the cytotoxicity against primary leukemic blasts. Moreover, patients who received 3 or more infusions of zoledronate had a better probability of survival compared to patients who received only 1 or 2 infusions (86% versus 54%, P = 0.008) [41]. In an extended study, Merli et al. [42] treated 46 patients with leukemia after TCR $\alpha\beta$ depleted SCT in the absence of any immunosuppressive pharmacological GVHD prophylaxis with monthly infusions of zoledronate starting at day +20 posttransplant. A total of 139 infusions with a mean of 3 infusions per patient were given. No adverse effects were observed and patients receiving

repeated infusions had lower transplant-related mortality (TRM) compared to those receiving only 1 or 2 applications of the drug (0% *versus* 16.7%, P = 0.01). Multivariate analysis revealed an independent positive effect on the outcome induced by repeated infusion of zoledronate [42].

Possible therapeutic exploitation of donor $\gamma\delta$ T cells in allogeneic transplantation

Based on the available data one can safely assume that allogeneic $\gamma\delta$ T cells are not alloreactive, neither in the HLA matched related/unrelated nor in the haploidentical setting. Therefore, $\gamma\delta$ T cells are attractive candidates for posttransplant therapeutic strategies in allogeneic transplantation aiming to reduce the risk of infections and the risk of relapses of the underlying diseases. The *in vivo* activation of $\gamma\delta$ T cells posttransplant, as already shown for zoledronate, could be one approach to exploit the anti-tumor and anti-infectious capacity of this cell population. Another approach could be the posttransplant adoptive transfer of donor-derived $\gamma\delta$ T cells or subpopulations [43].

Besides zoledronate, various other agents including interleukin 7 (IL-7) and IL-15 have been identified [44–46] to induce the expansion of $\gamma\delta$ T cells or subsets (for review see [47]). The ability of $\gamma\delta$ T cells to exert an effective antibody-dependent cellular cytotoxicity (ADCC) via their expression of the CD16 Fc receptor could additionally contribute to their anti-leukemic activity [48–50] and the early *in vivo* use of anti-tumor antibody could be a strategy to activate $\gamma\delta$ T cells and NK cells posttransplant, since these are the first lymphocytes to emerge after transplantation of T cell depleted grafts. Such a strategy has been described [51] and warrants further prospective clinical trials.

Only a few *in vivo* studies are currently performed which aim to stimulate $\gamma\delta$ T cells in the haploidentical posttransplant setting. In one study, zoledronate is given posttransplant in patients receiving a TCR $\alpha\beta$ depleted haploidentical graft (study identifier: NCT02508038), and in the other study, the combination of zoledronate and IL-2 is administered posttransplant in patients after haploidentical T-replete transplantation (NCT03862833).

Some studies are currently performed aiming at the *ex vivo* expansion of donor-derived $\gamma\delta$ T cells with subsequent adoptive transfer in patients after allogeneic transplantation. In these early phase clinical studies, donor $\gamma\delta$ T cells are stimulated and expanded *ex vivo* and infused to the patients with malignant hematological diseases after allo-SCT (NCT03885076, NCT04008381, NCT04028440, NCT03533816). It is currently not clear which subset of the $\gamma\delta$ T cells would be superior for adoptive transfer posttransplant. The $V\delta^2$ subset can be activated and expanded using synthetic phosphoantigen or bisphosphonates [52]. Once activated, V δ 2 cells produce pro-inflammatory cytokines such as IFN- γ and TNF- α . Most of the peripheral $\gamma\delta$ T cells are of the V γ 9V δ 2 subset and these cells can exert a potent and broad anti-tumor cytotoxicity in an HLA-independent manner. In addition, they are resilient to the suppressive action of programmed cell death-1 (PD-1) and can co-activate NK cells [53]. V δ 1⁺ $\gamma\delta$ T cells are the second most frequent subset in the peripheral blood. These cells mainly reside in mucosal epithelial tissue and also display potent anti-tumor activity [54]. Various approaches have been described to *ex vivo* expand V δ 1 cells [55]. Based on the lack of alloreactivity, $\gamma\delta$ T cells would constitute an ideal tool for donor-derived CAR T cell therapy posttransplant. Rozenbaum et al. [56] described a 14-day procedure to produce anti-CD19 $\gamma\delta$ CAR T cells from peripheral blood mononuclear cells from healthy donors with a median expansion of 185-fold. The transduction efficiency was $60.5\% \pm 13.2\%$. The cells were effective against CD19 positive targets in vitro and in an in vivo mouse model. Interestingly, multiple injections and priming of the γδ CAR T cells with zoledronate increased their *in vivo* anti-tumor function. Based on the natural cytotoxicity of γδ T cells, the anti-CD19 γδ CAR T cells were also able to lyse CD19 negative targets, which was further enhanced by priming the CAR cells with zoledronate [56].

Another approach to manufacture large numbers of $\gamma\delta$ CAR T cells of the V δ 1 phenotype is described by Nishimoto et al. [57]. Nishimoto describes the use of an agonistic monoclonal antibody (mAb) that selectively activates and expands V δ 1 $\gamma\delta$ T cells in healthy donor-derived peripheral mononuclear cells to achieve clinically relevant yields. Once activated, V δ 1 $\gamma\delta$ T cells are transduced with a self-inactivating, replication-incompetent gamma-retroviral vector to express a CD20 CAR. After transduction, $\gamma\delta$ T cells are further expanded in culture, enriched by TCR $\alpha\beta$ depletion, and then formulated for cryopreservation. This process has also been used to support the production of multiple CAR $\gamma\delta$ T cell products expressing glypican-3(GPC-3)-targeted CARs [58, 59].

A first-in-class clinical study using off-the-shelf third-party anti-CD20 V δ 1 CAR T cells is currently underway in patients with lymphoma (NCT04735471). Since the adoptive transfer of allogeneic donor-derived TCR $\alpha\beta^+$ T cells might be associated with an increased risk of GVHD, donor-derived V δ 1 $\gamma\delta$ CAR T cells manufactured with this method could be a powerful effector cell population, and clinical studies using posttransplant donor $\gamma\delta$ CAR T cells are warranted.

Conclusions

It is commonly accepted that allo-SCT can be regarded as an effective form of cellular therapy. Many effector cell populations including NK cells, $TCR\alpha\beta^+ T$ cells, and $\gamma\delta^+ T$ cells may play together to exert a strong anti-tumor effect posttransplant. It is therefore important to analyze the individual contributions of each of these cell populations in order to maximize their therapeutic efficacy. In contrast to $TCR\alpha\beta T$ cells, $\gamma\delta T$ cells are, similar to NK cells, not alloreactive and therefore an interesting target for further augmenting their anti-infectious and anti-tumor properties posttransplant. Especially in the absence of posttransplant pharmacological immunosuppressive prophylaxis for GVHD, they can be activated *in vivo* with various drugs and cytokines, even in the haploidentical setting. The lack of alloreactivity also allows the adoptive transfer of *ex vivo* activated and expanded $\gamma\delta$ T cells as well as the posttransplant application of donor-derived $\gamma\delta$ CAR T cells. However, future research must also investigate the potential suppressive activity of adoptively transferred $\gamma\delta$ T cells. In Figure 1, various possible approaches are summarized. Hopefully, these approaches will lead to an improvement of the outcome of allogeneic transplantation by reducing the infectious complications and more importantly by reducing the risk of relapses of the underlying malignant diseases.

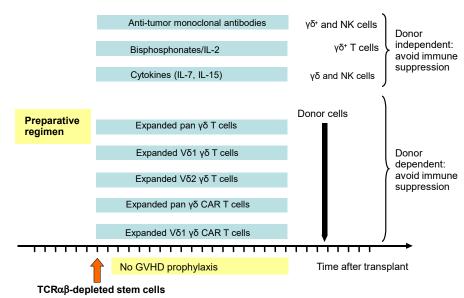


Figure 1. Different approaches to how to exploit $\gamma\delta$ T cells in a platform of TCR $\alpha\beta$ depleted SCT are suggested. Since there is no GVHD prophylaxis necessary, the *in vivo* and *ex vivo* treatment can be started early after transplant when the first donor-derived $\gamma\delta$ and NK cells reappear

Abbreviations

allo-SCT: allogeneic stem cell transplantation

AML: acute myeloid leukemia

BW: body weight

CAR: chimeric antigen receptor

CMV: cytomegalovirus

DSF: disease-free survival EBV: Epstein-Barr virus G-CSF: granulocyte-colony stimulating factor GVHD: graft-versus-host disease GVL: graft-versus-leukemia HLA: human leukocyte antigen IL-7: interleukin 7 KIR: killer cell immunoglobulin-like receptor MRD: minimal residual disease NK: natural killer PBSC: peripheral blood stem cell SCT: stem cell transplantation TCR: T cell receptor Treg: T-regulatory

Declarations

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Author contributions

All authors contributed to the literaure search. RH wrote the first draft. All authors contributed to the manuscript and read and approved the submitted version.

Conflicts of interest

RH is a co-patent holder of the $TCR\alpha\beta$ depletion technology together with the Miltenyibiotec company. The other authors declare that they have no conflict of interest.

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